



**CLIENT APPROVAL & AUTHORISATION  
PLEDGING OF SECURITIES AS COLLATERAL  
BY THE JAMAICA CENTRAL SECURITIES DEPOSITORY**

PLEDGOR(S): Complete & sign all 4 copies. Send/give #'s 1 and 2 to the JCSD. Retain copy #3. Send copy #4 to Pledgee.  
 JCSD: Make appropriate entries, sign and deliver copy # 2 to Pledgee.  
 PLEDGEE: Retain copies #'s 2 & 3 for records. Return copy # 2 to JCSD for release or realization.

NAME OF PLEDGOR:	JCSD ACCOUNT #:	DATE: (DD/MM/YY)
JOINT HOLDER #1:	NAME OF LENDING INSTITUTION:	
JOINT HOLDER #2:	CONTACT PERSON AT LENDING INSTITUTION:	
JOINT HOLDER #3:	CONTACT # FOR LENDING INSTITUTION:	
CLIENT ADDRESS:	LENDING INSTITUTION'S ADDRESS:	

The Pledgor(s) hereby gives notice that an Agreement has been entered into with the above named Lending Institution (Pledgee) to pledge the below listed securities as collateral and hereby authorize the JCSD to move the securities from my Account into a Pledge Account to be held for the interest of the above named Pledgee. The Pledgor(s) confirm that the pledged securities can only be released from the Pledge Account on notification from the Lending Institution; that same be so released and thereafter placed in my Available Account. The Pledgor(s) also confirms that it is contained within the Agreement with the above-mentioned Lending Institution that in the event of a default under the Agreement, the Lending Institution shall have the right to enforce the pledged securities by way of sale. The JCSD is therefore authorized to comply with any request made by the Lending Institution, and or its Broker, to have the securities or a specified number thereof sold in accordance with such instructions. The foregoing constitutes my irrevocable instructions and authorization to you concerning the pledge of securities in my Account to the Lending Institution.

The Jamaica Central Securities Depository (JCSD) hereby certifies that under written instructions received from the above named Pledgor(s) it has made appropriate entries on its books reducing the account of the above named Pledgor(s) and increasing the account of the above named Pledgee by the number of shares listed below; that all of such securities are registered in the name of a nominee of JCSD; and that immediately prior to the making of such entries all of such securities were subject to the instructions of JCSD and were shown on the account of the above named Pledgor(s) on the books of JCSD. All of such securities have been pledged to the above named Pledgee by appropriate entries on the books of JCSD and will be subjected only to the instructions of the above named Pledgee addressed to JCSD.

AUTHORIZED SIGNATURE OF PLEDGOR  1. _____  2. _____  3. _____  4. _____	AUTHORIZED SIGNATURE AND STAMP OF THE THE JAMAICA CENTRAL SECURITIES DEPOSITORY
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#	QUANTITY	DESCRIPTION OF JCSD ELIGIBLE SECURITIES	#	QUANTITY	DESCRIPTION
1			6.		
2			7.		
3			8.		
4			9.		
5					

Copy # 1 – for retention by JCSD

Pledge fee is \$500 for securities being pledge up to a value of \$400,000 or pledge with a value of over \$ 400,000 minimum charge of \$1,000.00 or the ad valorem fee of 1/8 of 1% (.00125) of the value.

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AUTHORIZED SIGNATURE OF PLEDGOR

- 5. \_\_\_\_\_
- 6. \_\_\_\_\_
- 7. \_\_\_\_\_
- 8. \_\_\_\_\_

AUTHORIZED SIGNATURE AND STAMP OF THE  
THE JAMAICA CENTRAL SECURITIES DEPOSITORY

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Copy # 2 – for retention by Pledgee  
as received from JCSD

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<b>AUTHORIZED SIGNATURE OF PLEDGOR</b>  9. _____ 10. _____ 11. _____ 12. _____	<b>AUTHORIZED SIGNATURE AND STAMP OF THE THE JAMAICA CENTRAL SECURITIES DEPOSITORY</b>
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Copy # 3 – for retention by Client

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AUTHORIZED SIGNATURE OF PLEDGOR

- 13. \_\_\_\_\_
- 14. \_\_\_\_\_
- 15. \_\_\_\_\_
- 16. \_\_\_\_\_

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Copy # 4 – for retention by Pledgee  
File Copy

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